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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional
applications under 37 CFR 1.53(b)) 1.53(b))

Appln. Trans.
PATENT

Attorney Docket No. A32407-PCT-USA-A-065125.0108

Customer Number: 21003

First Named Inventor George Y. Wu, et al.

Total Pages: 115

jc986 U.S. PTO
09/930781
08/15/01

August 15, 2001

BY EXPRESS MAIL - Label No. EK 839 853 125 US

Box: Patent Application
Commissioner for Patents and Trademarks
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of George Y. Wu and Catherine H. Wu
entitled "PROPAGATION OF HUMAN HEPATOCYTES IN NON-HUMAN ANIMALS" which
includes:

- | | |
|---|------------------------|
| <input checked="" type="checkbox"/> Specification | <u>106</u> Total Pages |
| <input checked="" type="checkbox"/> Introduction; Background of the Invention;
Summary of the Invention; Description of the
Figures; Detailed Description of the Invention;
Examples; Tables 1-3 | <u>70</u> pages |
| <input checked="" type="checkbox"/> Claims | <u>1</u> page |
| <input checked="" type="checkbox"/> Abstract | <u>1</u> page |
| <input checked="" type="checkbox"/> Drawings | <u>34</u> sheets |
| <input checked="" type="checkbox"/> formal (Figs. 1-32) | |
| <input type="checkbox"/> informal | |
| <input type="checkbox"/> Combined Declaration and Power of Attorney | ___ pages |
| <input type="checkbox"/> Newly executed (original or copy) | |
| <input type="checkbox"/> Copy from a prior application | |

☒ If a continuing application, check appropriate box:

☐ Continuation ☐ Divisional ☒ Continuation-In-Part
 of prior international application Serial No. PCT/US00/05713, filed March 3, 2000, which is a
continuation-in-part of U.S. application Serial No. 09/431,901 filed November 2, 1999, now pending.

jc944 U.S. PTO
08/15/01

09930781 004504

[X] Amend the specification by inserting, before the Introduction, the following sentence:

"This is a ☐ continuation ☐ divisional ☒ continuation-in-part of copending International Patent Application No. PCT/US00/05713 filed March 30, 2000, which is a continuation-in-part of U.S. application No. 09/431,901 filed November 2, 1999, now pending.

[X] The entire disclosures of the prior applications are considered as being part of the disclosure of the accompanying application and are hereby incorporated by reference therein.

[X] An Assignment of the invention to the University of Connecticut

☐ is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

[X] will follow.

☐ has been filed in the prior application and recorded at Reel/Frame _____ on _____

[X] Small Entity Statement(s)

☐ is/are attached.

☐ Small Entity Statement filed in prior application. Status still proper and desired.

[X] will follow.

☐ Information Disclosure Statement

___ pages

☐ PTO Form 1449

___ pages

☐ Copies of IDS Citations.

☐ Copies of IDS Citations are not required.

Also accompanying this patent application are:

☐ Application Data Sheet

☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

☐ Nucleotide and/or Amino Acid Sequence Submission

☐ Preliminary Amendment

___ pages

☐ Non-Publication Request

[X] Return Receipt Postcard

☐ Other: _____

[X] The filing fee has been calculated as shown below:

FOR	(Col. 1)		(Col. 2)		Small Entity		Other Than A	
	<u>No. Filed</u>		<u>No. Extra</u>		<u>Rate</u>	<u>Fee</u>	<u>Small Entity</u>	<u>Fee</u>
Basic Fee						\$355.00		\$710.00
Total Claims	5	-20 =	0	x 9 =	\$0.00	18 =		\$ 0.00
Ind. Claims	2	-3 =	0	x 40 =	\$0.00	80 =		\$ 0.00
Multiple Dependent Claim				+ 135 =		270 =		\$ 0.00
				Total	\$355.00			\$ 0.00

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee:

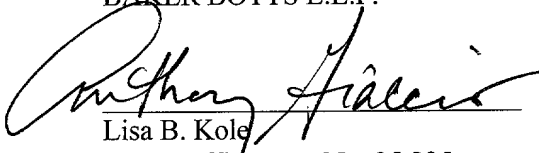
☒ Enclosed☒ Basic filing fee \$355.00☐ Recording Assignment \$0.00
[\$40.00; 37 CFR 1.21(h)]Total Fees Enclosed \$355.00☒ A check in the amount of \$355.00 to cover filing fee is enclosed.☐ Please charge our Deposit Account No. 02.4377 in the amount of _____. Two copies of this sheet are enclosed.

Priority:

☒ Priority is claimed of international application Serial No. PCT/US00/05713 filed March 3, 2000 and published in English, which is a continuation-in-part of prior U.S. Application No. 09/431,901 filed November 2, 1999, now pending.☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.☐ has been filed in the parent application S/N _____.☐ is/are attached.☐ will follow.☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.☒ The Commissioner is hereby authorized to charge payment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.☒ The Commissioner is conditionally authorized to charge payment of any fees associated with this application or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

Respectfully submitted,

BAKER BOTTS L.L.P.


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Enclosures